

Code VIX
Company name VIX Securities Joint Stock Company
Date 08/20/2021
Subject Approved the record date for implementing rights

Content:

The Board resolution dated August 20, 2021, the BOD of VIX Securities Joint Stock Company approved the record date for stock dividend payment and implementing rights to buy shares with the following details:

- Record date: September 08, 2021

1. Reason & Purpose:

- To pay stock dividend;
- To implement rights to buy shares.

2. Content:

a To pay stock dividend:

- Exercise ratio: 100:15 (shareholder who owns 100 shares will receive 15 new shares.)
- Plan to deal with fractional shares: The distributed shares will be rounded down to dozen, the fractional shares due to rounding down will be cancelled.
- For example: at the record date, shareholder A owned 588 shares. With 100:15 performing ratio, the shareholder A receives: $588 * 1/100 = 5.88$ shares. According to rounding policy, the shareholder A receives 5 new shares and fractional shares of 0.88 will be cancelled.
- Place of payment:
 - + Shareholders whose shares have been deposited: at the securities firms where the shares have been deposited.
 - + Shareholders whose shares have not been deposited: at VIX Securities Joint Stock Company (Address: Floor 22nd, No.52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi).

b. To implement rights to buy shares:

- Exercise ratio: 1:1 (Those who own 01 share will receive 01 right, and with every 01 right will be entitled to purchase 01 new share).
- Expected issue volume: 127,718,975 shares
- Issuing price: 10,000 dongs/share

- How to deal with fractional shares: due to the issuance ratio 1:1, there are not fractional shares.
- How to deal with unsold shares: The unsold shares (if any) will be decided by the Board of Directors with the selling price is not less than VND 10,000/share.
- For example: At the record date, the shareholder A owns 588 shares. With performing ratio 1:1 the shareholder A will purchase: $588 * 1/1 = 588$ shares. Accordingly, the shareholder A will be purchased 588 new shares.
- Regulation on rights transfer:
 - Time for rights transference: from September 20, 2021 to October 05, 2021
 - The rights should be transferred only once.
- Regulation on securities purchased:
 - Time for subscription and deposit: from September 20, 2021 to October 11, 2021.
 - The new shares are freely transferable.
- Place to receive subscription:
 - Shareholders whose shares have been deposited: at the securities firms where shares have been deposited.
 - Shareholders whose shares have not been deposited: at VIX Securities Joint Stock Company (Address: Floor 22nd, No.52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi).
- Bank for blocking depository money:
 - Account holder: VIX Securities Joint Stock Company
 - Account number: 1116 1556 8568
 - Bank: Vietinbank – Nam Thang Long branch.